



A statement of this type is now required with all redemptions.

1) I hereby certify that there are no fees, such as DSC charges or intermediary fees, associated with the attached redemption.

OR (Circle one)

2) I hereby certify that I have explained the DSC charges and all other fees, which total approximately \$_____ to the client before accepting the attached redemption transaction.

Date

Client Name

Fund Name or Code

Fund Account Number

Representative Signature

This record will be retained for review by the MFDA to certify that DSC fees and other charges were disclosed to the client at the time of the acceptance of the redemption order.

Compliance Officer Signature

Date